



*Chair Risk and Resilience of Complex Systems Annual Scientific Seminar
Talk 6 (Industrial partner Orange)*

Resilient railway 5G network : service availability and reliability assessment

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28 Sep 2023



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Introduction : *5G network for future railway communication*

Modeling : *continuous connection for high mobility users*

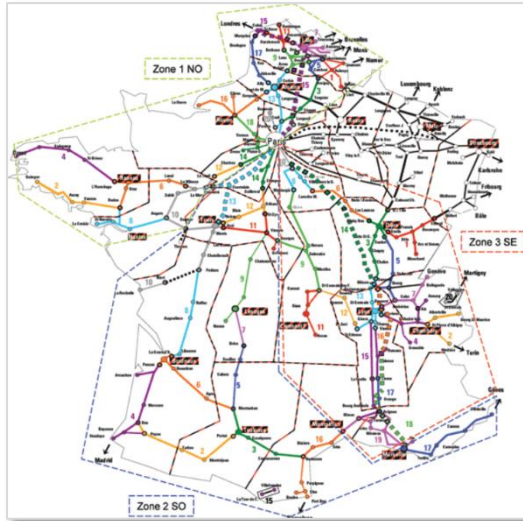
Simulation : *an interactive availability & reliability assessment*

Conclusion : *how far are we from reality ?*

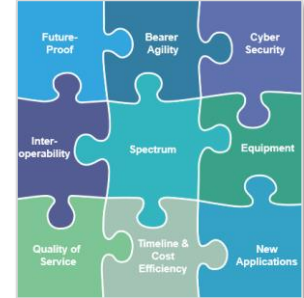
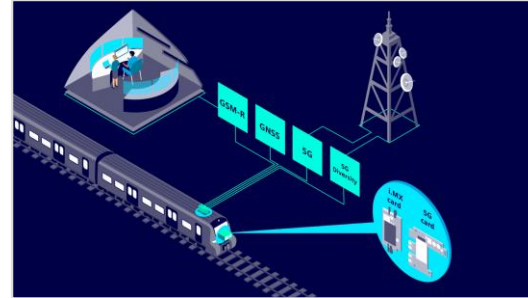


From GSM-R to 5G-R or FRMCS

Built for Railway,
GSM-R networks are the most reliable
mobile networks currently in existence.



- I. Introduction : 5G network for future railway communication
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GSM-R networks will start to be life-expired by 2030.

Future Railway Mobile Communication System (FRMCS): to usher in 5G.

Strategic Plan for FRMCS Introduction



Rémi Bévat, Olivier Labourdette(2010, January). GSM-R in France.[Online available] <https://www.globalrailwayreview.com/article/4247/gsm-r-in-france/>.
UIC (2020, December). FRMCS and 5G for rail: challenges, achievements and opportunities. Publication of UIC rail system department. [Online available] https://uic.org/IMG/pdf/brochure_frmcs_v2_web.pdf.



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From RST in GSM-R to various use cases expected in 5G networks

Use case	Characteristic parameters				Influence parameters						
	Communication service availability: target value (note 1)	Communication service reliability: mean time between failures	End-to-end latency: maximum (note 2)	Service bit rate: user experienced data rate	Communication pattern	Message size	Transfer interval: target value	Survival time	UE speed	# of UEs	Service area (note 3)
1: Control of automated train (note 4)	99,999 %	below 1 year but >>1 month	<100 ms	≥200 kbit/s	periodic deterministic	≤200 byte	100 ms	~500 ms	≤160 km/h	<25	50 km x 200 m
2: CCTV communication service for surveillance cameras (note 4)	>99,99 %	~1 week	<500 ms	≥2 Mbit/s	aperiodic deterministic			~500 ms	≤160 km/h	<25	50 km x 200 m
3: Emergency voice call (note 4)	>99,99 %	~1 day	<200 ms	≥200 kbit/s	aperiodic deterministic			~2 s	≤160 km/h	<25	50 km x 200 m
4: Train coupling	>99,9999 %	~1 year	<100 ms	1 Gbit/s	mixed traffic			~500 ms	– (note 5)	2	3 m x 1 m
5: CCTV offload in train stations				≥1 Gbit/s	non-deterministic				~0 km/h	≥1	train station

Table of KPIs of communication service performance requirements for rail-bound mass transit.

Availability : Up to 99.9999%
Reliability : More than 1 year

3GPP (2022, May). LTE; 5G; Mobile communication system for railways (3GPP TS 22.289 version 17.0.0 Release 17)

Scenarios:

- Automated train
- Train coupling
- Video/voice communication

Environment and context:

- Speed:
160 km/h (mass transit) – 500 km/h (high-speed)
- Surroundings:
Tunnel & mountain environment
- End users:
Train(s), passengers, control center
- Traffic pattern:
Nondeterministic

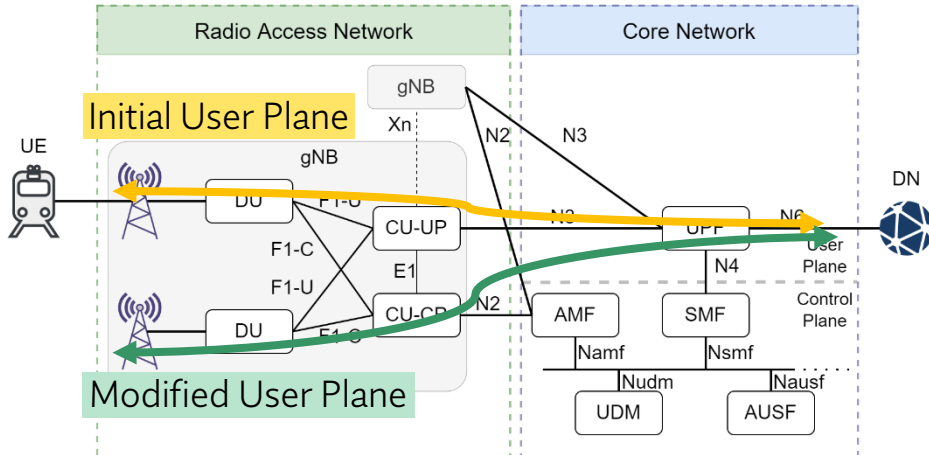


Communication system architecture

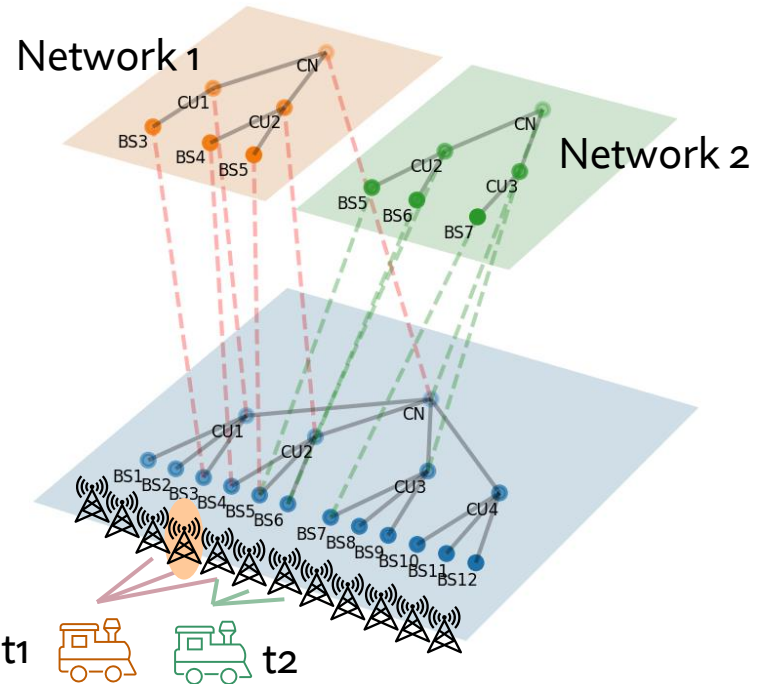
5G for railway communication service mainly relies on the **User Plane**.



But the User Plane is no longer static.



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Train is connected to sub network 1 at t1

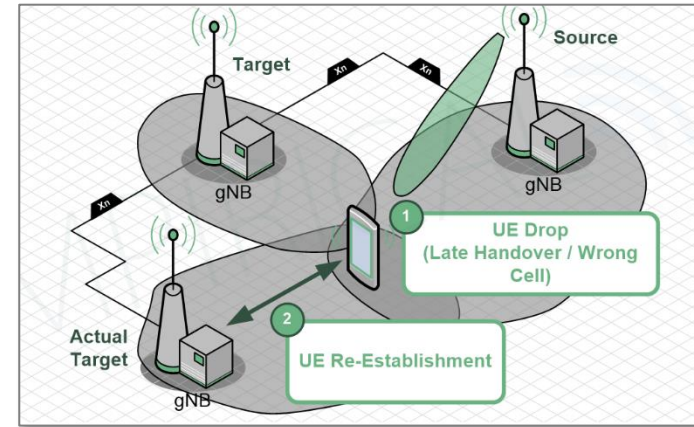
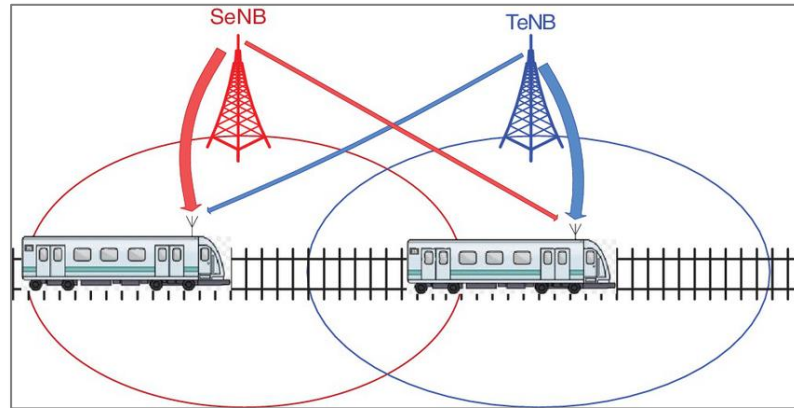
Train is connected to sub network 2 at t2



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1st challenge: resilient session Handover (HO)

- Modeling of Control Plane HO process
- Interaction with User Plane



2nd challenge: connection Re-establishment (RE)

- Modeling of Control Plane RE process
- Simulation on service failure and recovery

Amin Sadrabadi, H., N. Ardalani, H., Bakhshi (2022, April). An enhanced LTE handover scheme for high-speed railway application. *Trans Emerging Tel Tech.* 2022; 33(4):e4404a.

Nugent P. (2022, October). Minimizing Handover Failures in 5G. [Online Available] <https://www.mpirical.com/blog/minimizing-handover-failures-in-5g>

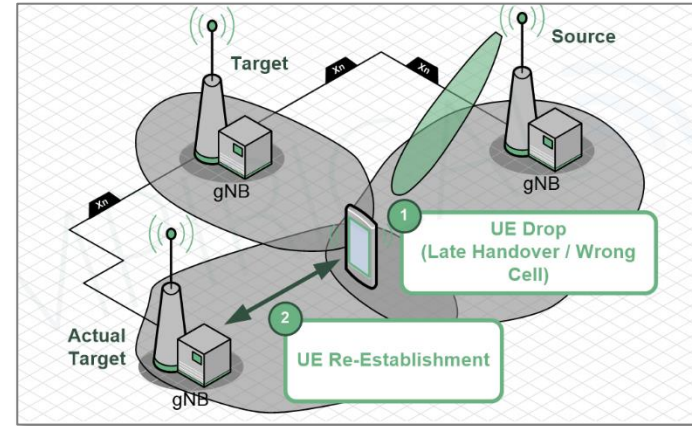
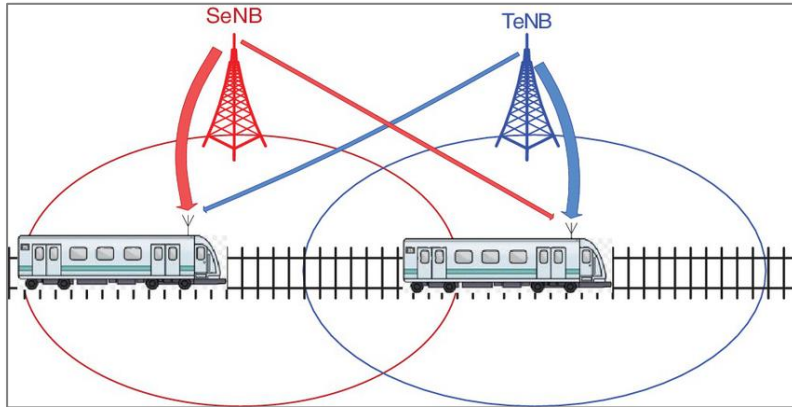


Resilient 5G network for train (continued)

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1st challenge: resilient session Handover (HO)

- Foreseeable (triggered by distance/power)
- Keeping the continuity of service (+Reliability + Availability)



2nd challenge: connection Re-establishment (RE)

- Passive action (when losing connection)
- Decreasing down time of service (+Availability)

Amin Sadrabadi, H., N. Ardalani, H., Bakhshi (2022, April). An enhanced LTE handover scheme for high-speed railway application. *Trans Emerging Tel Tech.* 2022; 33(4):e4404a.

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Modeling

Problem statement



- I. Introduction : 5G network for future railway communication
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- IV. Conclusion : how far are we from reality ?

Objective : estimate network service availability and reliability

- Elements
- Behaviors
- Model
- Metrics





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• Elements

The train(moving end-user)

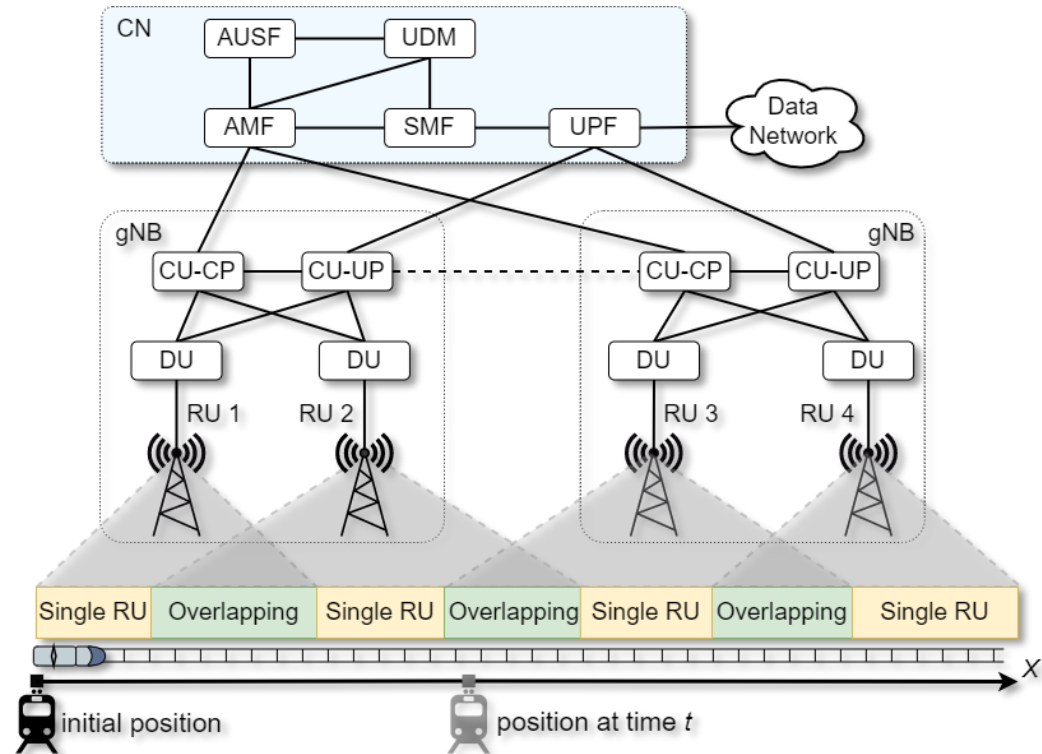
In Radio Access Network:

- Radio Unit (physical equipment)
- Distributed Unit(Server + Microservices)
- Centralized Unit(Server + Microservices)

In Core Network:

- Aggregated centralized physical resource
- AMF(Microservices)
- SMF(Microservices)
- UPF(Microservices)
- ...

- Behaviors
- Model
- Metrics



Modeling Train end user behaviors

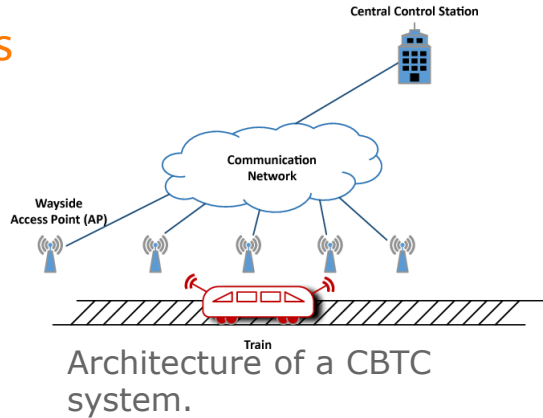


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• Behaviors

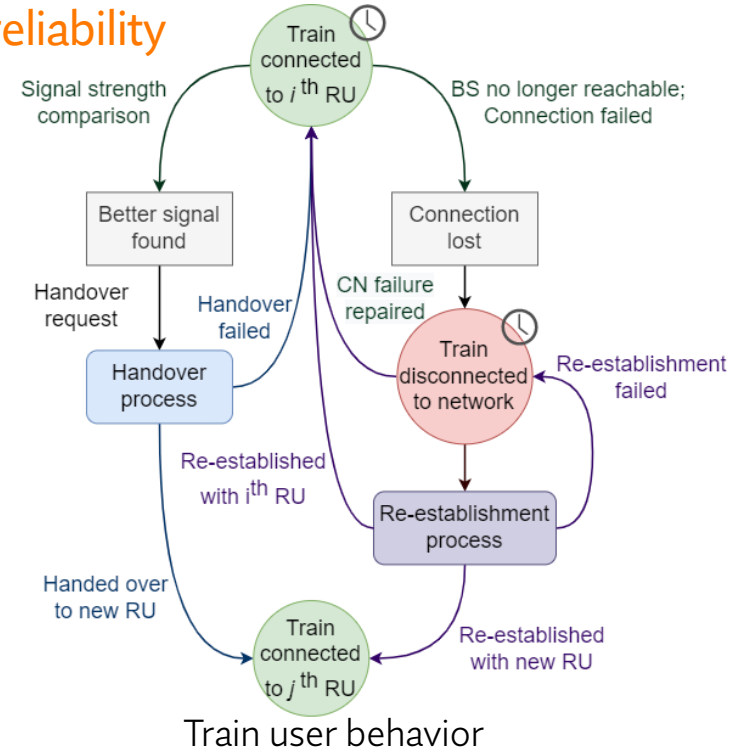


In function of position

In failure



- | | |
|-------------------------|---------------------------|
| 1. Detect better signal | 4. Detect connection loss |
| 2. Try handover | 5. Try re-establishing |
| 3. Connect to RU | 6. Re-connect to RU |



• Model
• Metrics

Chan, M. Y., Baroudi, S., Siu, J., & Liebeherr, J. (2017, September). Measurement-based handover method for communication-based train control systems. In 2017 IEEE 86th Vehicular Technology Conference (VTC-Fall) , pp. 1-6.

Modeling Train end user behaviors

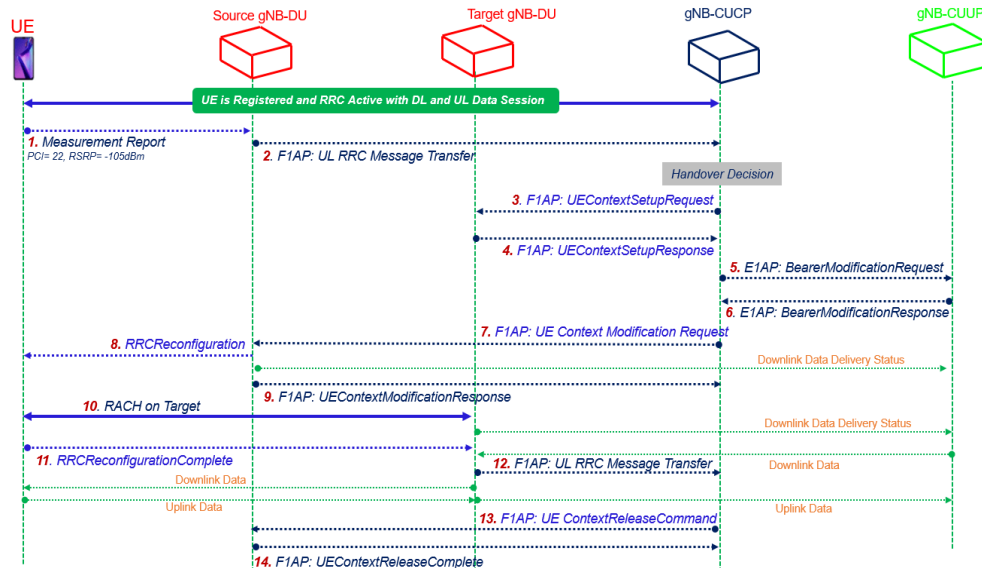
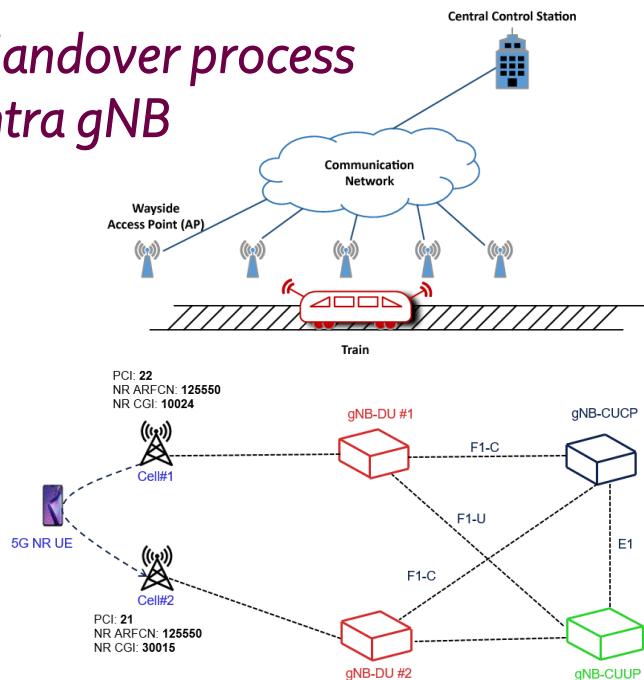


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Handover process Intra gNB



Handover process call flow

Modeling Train end user behaviors

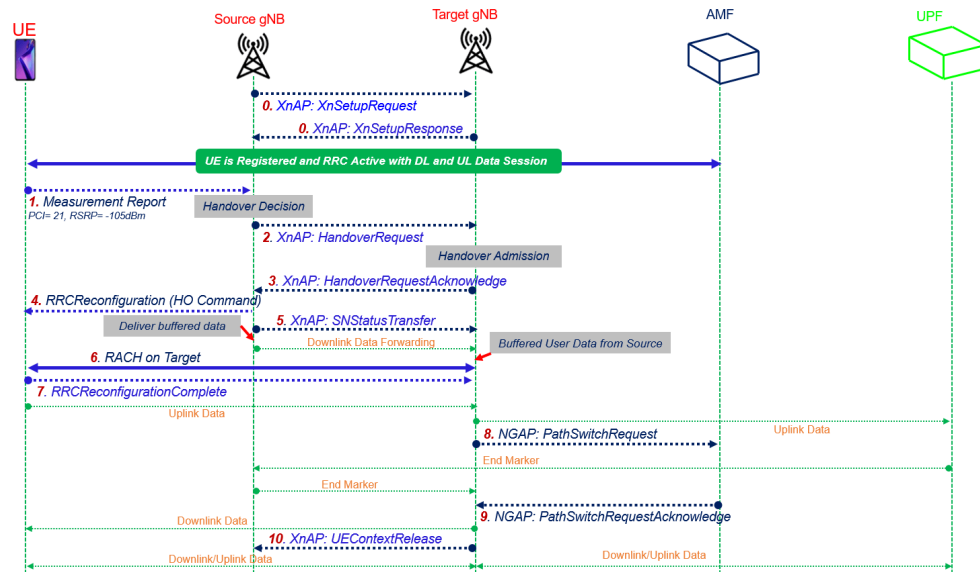
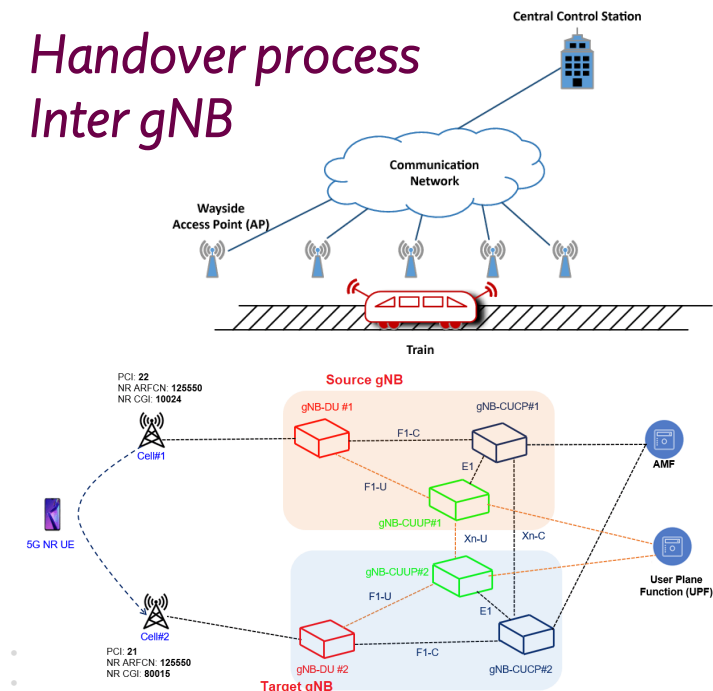


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Handover process Inter gNB



Handover process call flow

Modeling Train end user behaviors

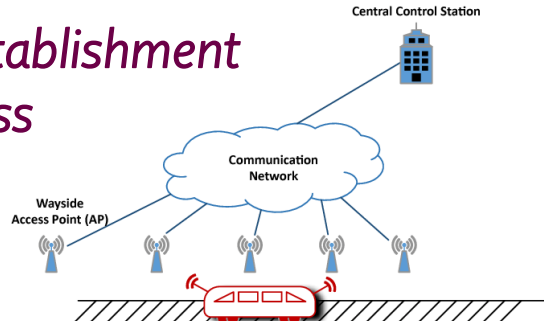


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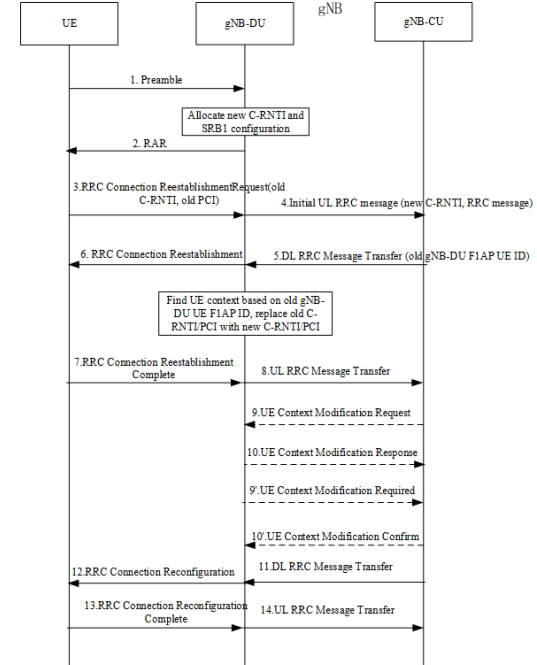
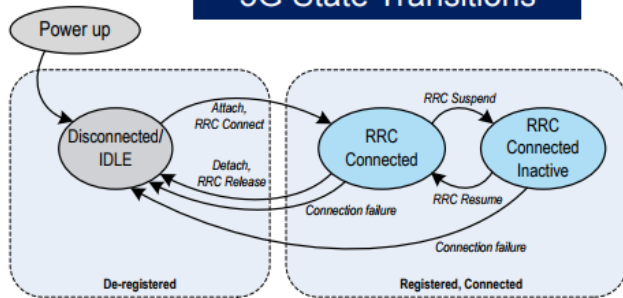
Objective : estimate network service availability and reliability

- Elements
- Behaviors

Re-establishment process



5G State Transitions



Re-establishment process call flow

3GPP. (2020, November). 3GPP TS 38.401 release 16, 5G; NG-RAN; Architecture description.



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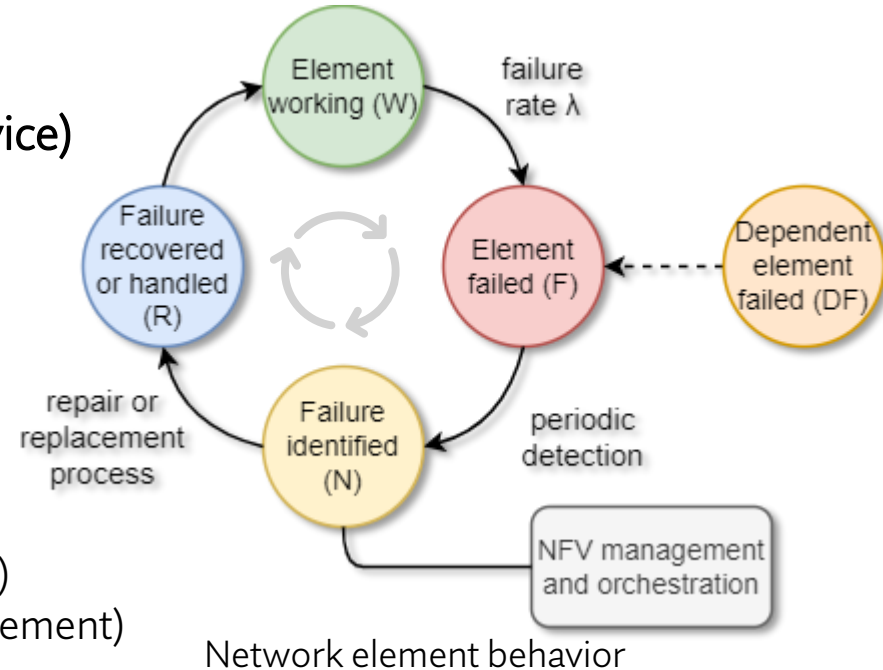


Virtual Element (Microservice)

Failure rate (weekly)
Detection (NFV-MANO)
Restart (few seconds)

Physical Infrastructure

Failure rate (yearly)
Detection (NFV-MANO)
Repair (manually/replacement)



- Model
- Metrics



Interaction between two models

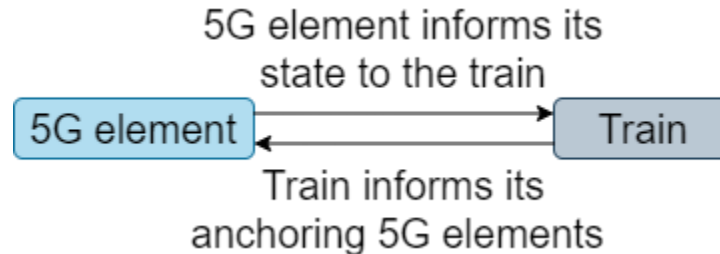
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- Elements
- Behaviors
- **Model**

Failure information;
Handover conformation;
Reconnection conformation;

...



Handover require (changing radio base station);
Reconnection require;

...

- Metrics



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- Behaviors
- Model
- **Metrics**

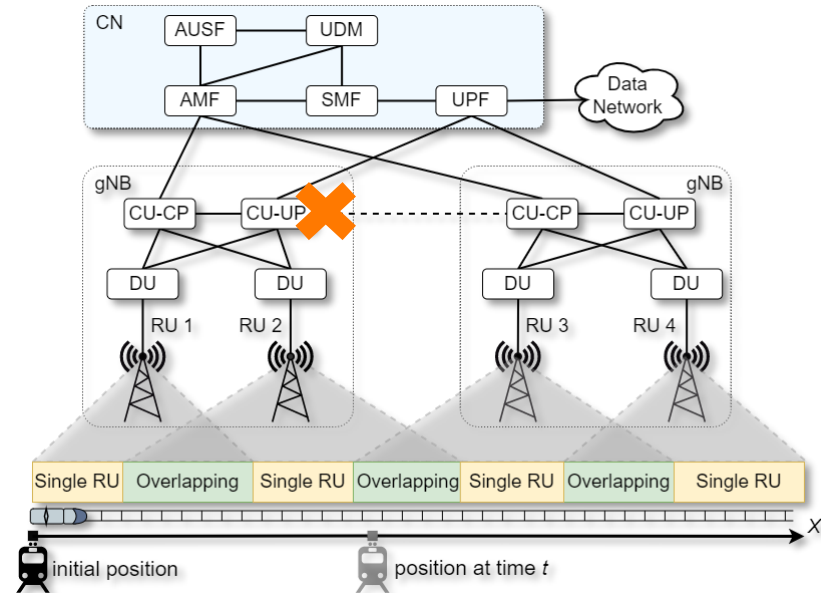


Providing connection to the train 7d x 24h wherever the train locates.
Offering more than consumers expect.

Network availability: the value of the amount of time the operator can provide end-to-end (E2E) service everywhere by using the deployed network, divided by the total time.

Network reliability: the ability of the network to continuously provide E2E connection everywhere in a considered area.

We measure network reliability using the Mean Time To Failure (MTTF) of the network system.



Modeling Metrics (continued)



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- Behaviors
- Model
- **Metrics**



Using the service from where the train is located.
Consuming less than provider gives.

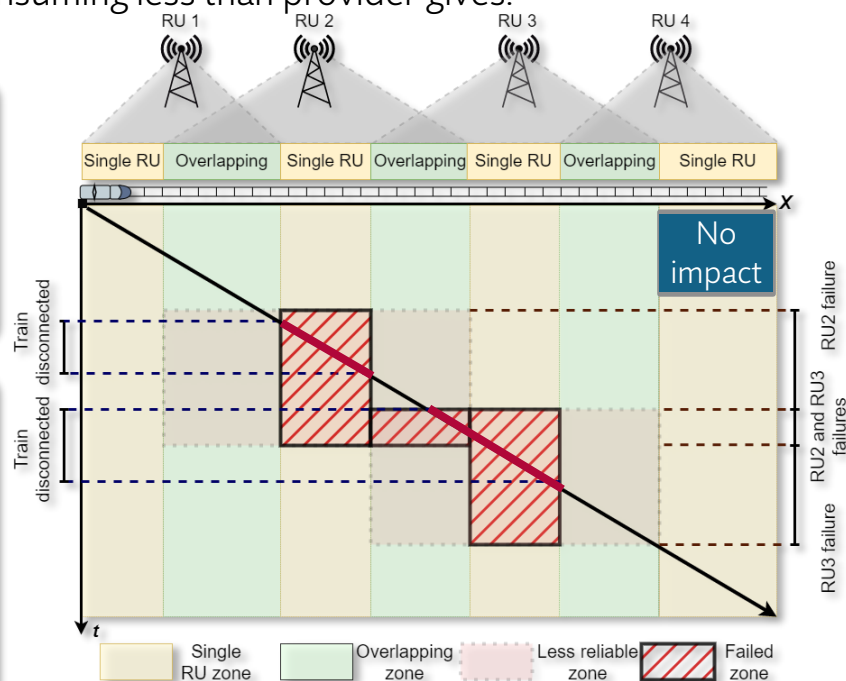
Train network communication service

availability: the value of the amount of time the E2E communication service is delivered, divided by the amount of time.

Train network communication service

reliability: the ability of the communication service to perform as required for a given time interval.

We describe it with MTTF of the service.



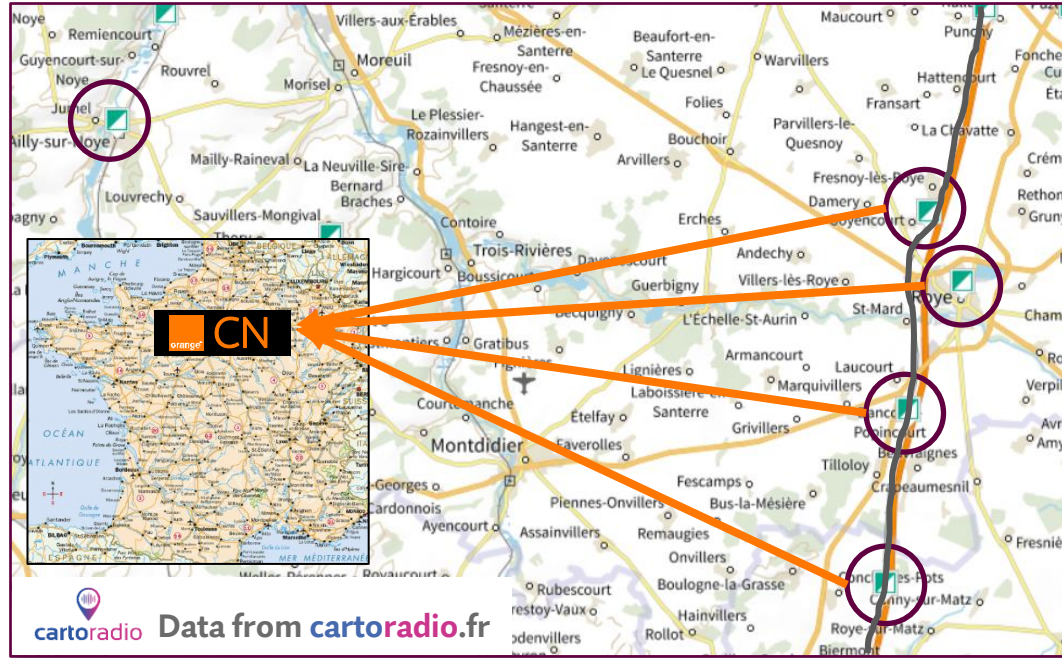
Simulation

Railway communication network



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Current GSM-R positions along the railway



Radio Base Station along the railway

Distribution of Base Station:

- From 1 km to 10 km
- Environment information is missing

Covering area

- Some locations can be covered by 2/3+ base station (intersection)
- Some locations has no gNB redundancy (rural, less frequent line.) → *Economical aspect: decide when turning on*

Simulation

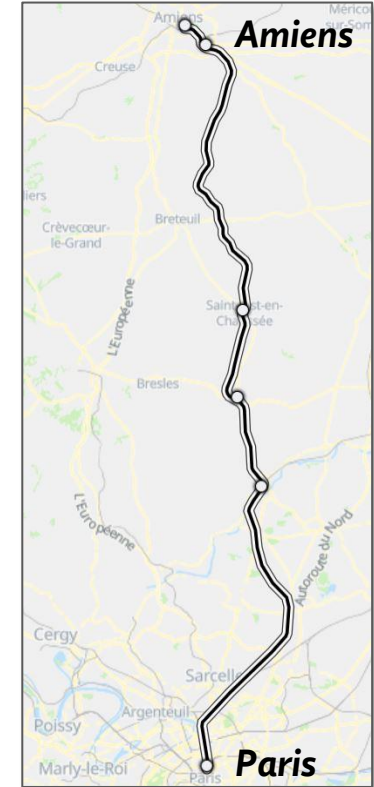
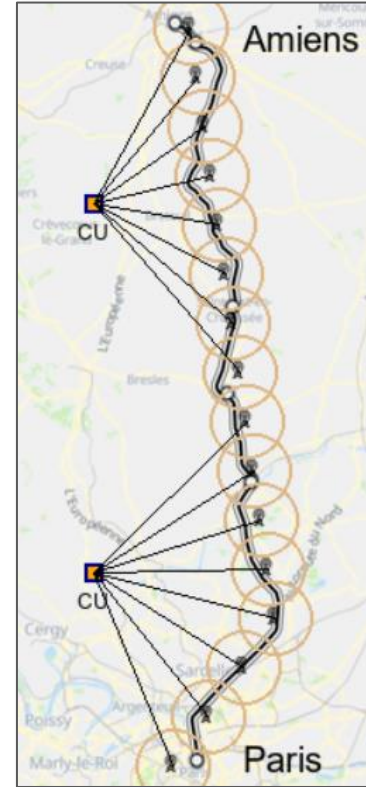
Railway use case



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A rail line between Amiens Paris about 100 km

Parameter	Interval	Value
Nb of RU/DU	6-100	16
Cover radius	1-10 km	5 km
Nb of CU	1-20	2
Redundancy		
· DU server	0-2	0
· CU standby	0-2	0
· CN standby	0-2	0
Speed	50-500 km/h	200 km/h



Itinerary Paris \leftrightarrow Amiens



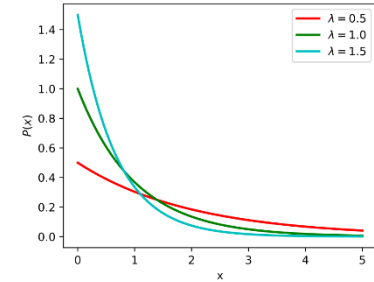
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Stochastic events

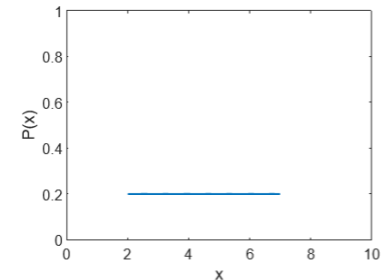
- Physical infrastructure failure
 - Exponentially distributed failure time
 - Randomly detect + fixed repair time (long)
- Virtual component failure
 - Exponentially distributed failure time
 - Randomly detect + Fixed repair time

Designated events

- A designated failure at a designated time



Exponential distribution

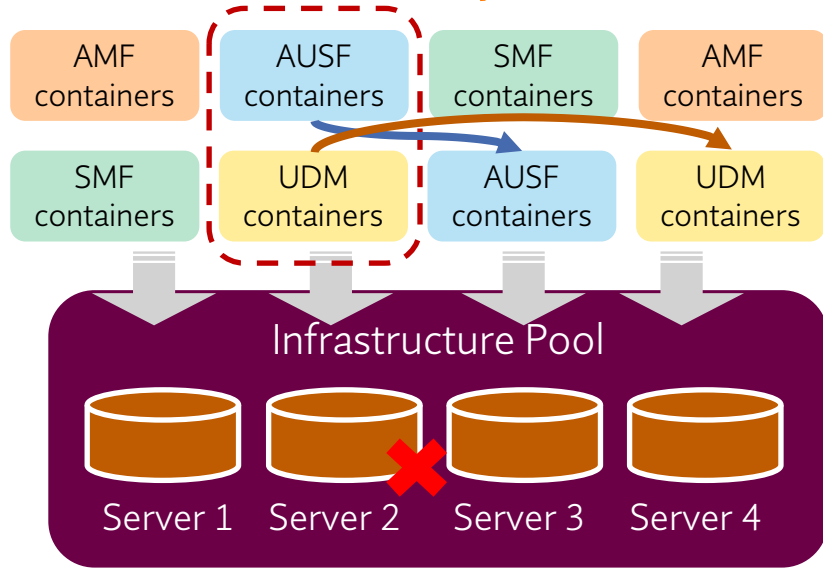


Uniform distribution

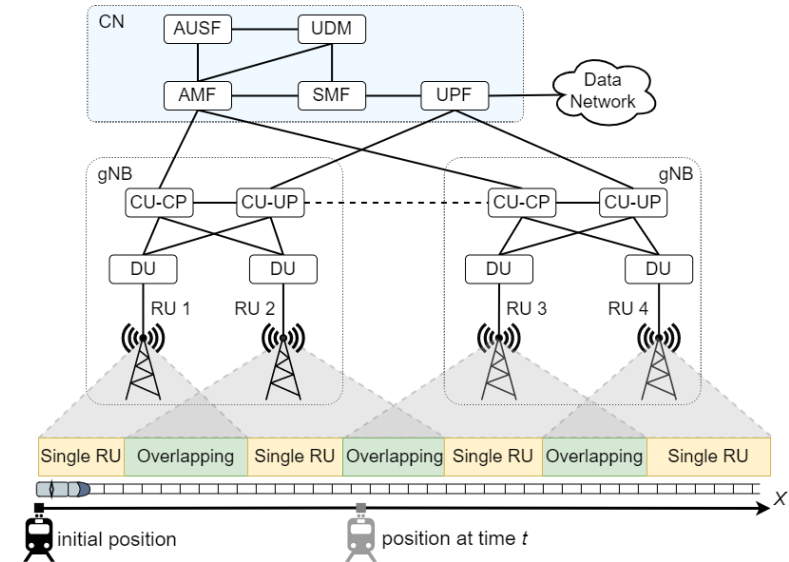


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Failure without impact



Anti-affinity strategy save the network from failure

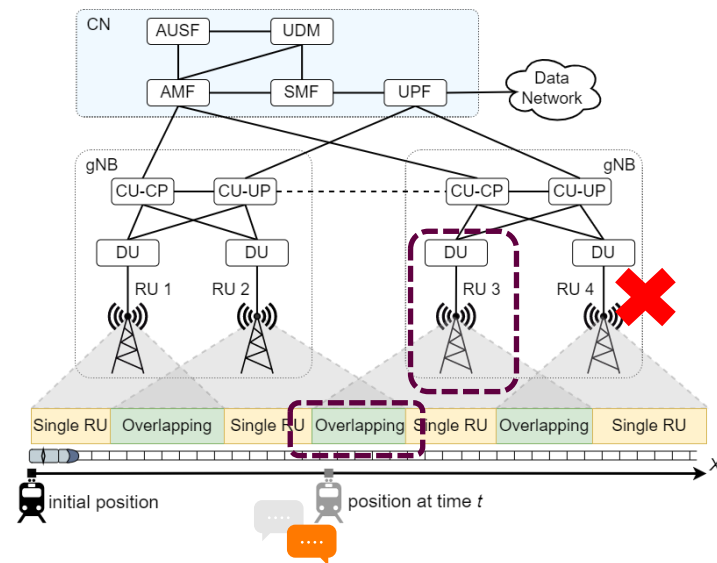




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Failure only impacting network (not user)

- Train at time t , running in zone 4 and it is connected to RU3
- RU4 fails, as a result, Orange cannot provide service to zone 7
- The failure of RU4 does not impact train service
- The failure of RU4 will not impact train service if repaired before train getting into zone 7

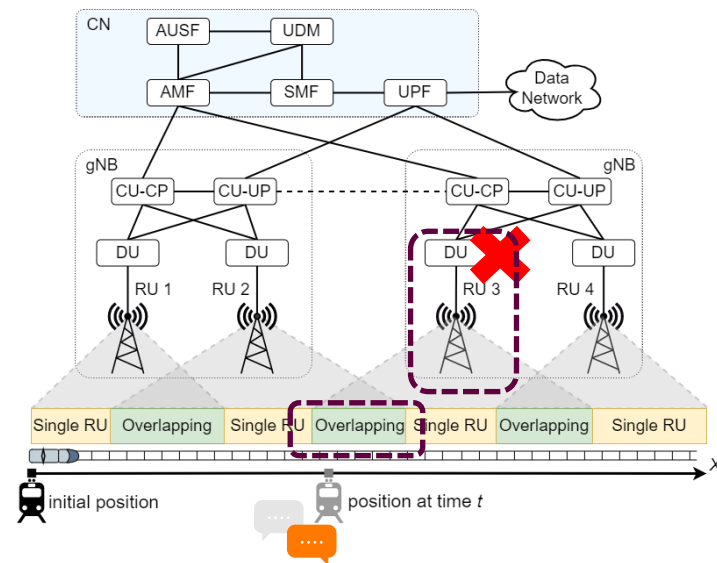




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Failure impacting both network and service

- Train at time t , running in zone 4
- DU3 fails, Orange fails to provide network in zone 5
- If the train is connected to RU2
 - The Handover process will not be done
- If the train is already attached to RU3 before failure
 - The connection ends and UE try to re-establish a connection using RU 2



Simulation Demonstration



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5G applied to high speed trains



Number of DU (&RU)

DU cell radius (km)

Number of CU

Additional information

Train speed (between 10-500 km/h)

Simulation epoch

Simulation hour per epoch

Frequency (train/h)

CU Color

Antenna Color Width

RAN-CU hot standby CN hot standby DU server size

☐ Specific Failures ☐ Enhanced Handover ☒ Self Healing

Pod MTTF (day)

Node MTTF (month)

Core Network Failure

Radio Failure - RU Site No.

Radio Failure - DU Site No.

Radio Failure - CU Site No.

Press Refresh to check the network structure, then press Start to get simulation result!

Configure Radio Access Network:

- Number of Base station RU, DU
- Coverage distance
- Number of CU (gNB)

DU/CU setups

DU_ID	Parent CU	Start position(km)	End position(km)
1	1	-5.0	5.0
2	1	-1.0	9.0
3	1	3.0	13.0
4	1	7.0	17.0
5	1	11.0	21.0
6	1	15.0	25.0
7	1	19.0	29.0
8	2	23.0	33.0
9	2	27.0	37.0
10	2	31.0	41.0

CU_ID	Children DUs	Start position(km)	End position(km)
1	1 2 3 4 5 6 7	-5.0	29.0
2	8 9 10 11 12 13 14	23.0	57.0
3	15 16 17 18 19 20	51.0	81.0
4	21 22 23 24 25 26	75.0	105.0

Simulation Demonstration



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5G applied to high speed trains

The simulation interface displays a map of the Paris-Amiens railway line with network elements (CU, DU, RU) and their connections. Configuration parameters include:

- Number of DU (&RU): 26
- DU cell radius (km): 5
- Number of CU: 4
- Additional information: No instructions
- Train speed (between 10-500 km/h): 200
- Simulation epoch: 1
- Simulation hour per epoch: 1
- Frequency (train/h): 1
- CU Color: orange
- Antenna Color: burlywood
- Width: 2

Buttons: Save itinerary, Show summary, Refresh, Start, Exit.

Failure events (right click to delete):

Micro-service	Time	Server Number
AMF-Communication	50.0	202
CU-CPCL	520.0	21
DU-DU1	31.0	3
RU antenna	122.0	19
DU-DU1	466.0	

Event logs:

Time	Event
1	====Transit0=====
31.0	Service fails:DU-DU1
31.0	0.0Handover to 2
40.05	New service:DU-DU1
50.0	Service fails:AMF-Con
50.0	0.0Handover to 1
50.05	New service:AMF-Con
50.05	0.0Handover to 2
108.0	0.0Handover to 3
122	Antenna Fails 3

Designated failures:

Core Network Failure	Time	Site No.
Radio Failure - RU	1.0	1
Radio Failure - DU	1.0	1
Radio Failure - CU	1.0	1

Network element redundancy:

- DU server
- CU replicas
- CN VNF replicas

Network functionality:

- Enable repair process
- Set failure rate for servers and virtual containers (pods)

Designated failures:

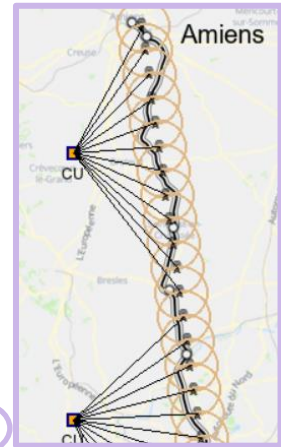
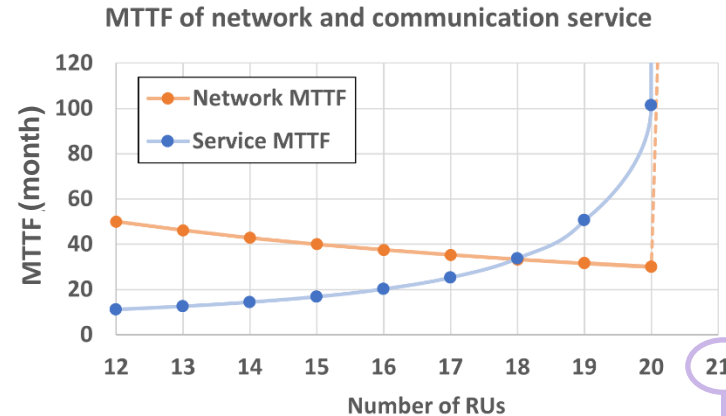
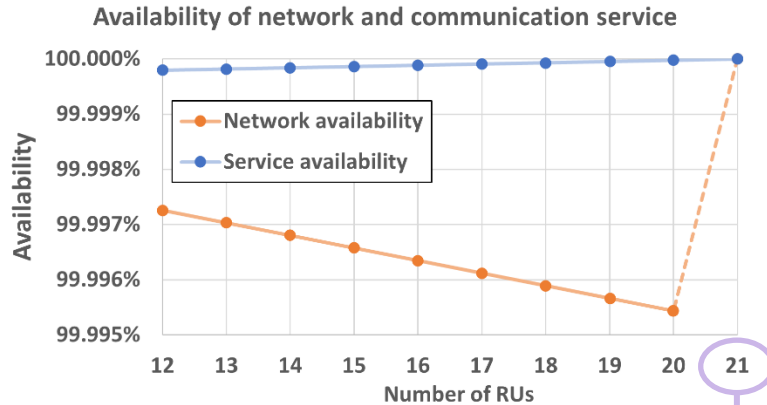
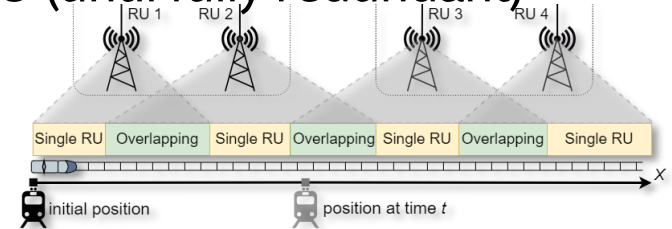
- Define specific failure events:
- Time
- Element
- One at a time



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- III. **Simulation : an interactive availability & reliability assessment**
- IV. Conclusion : how far are we from reality ?

Random element failure only on RU

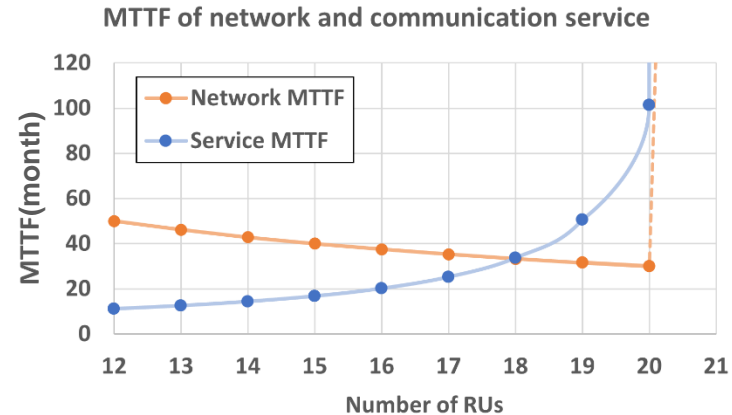
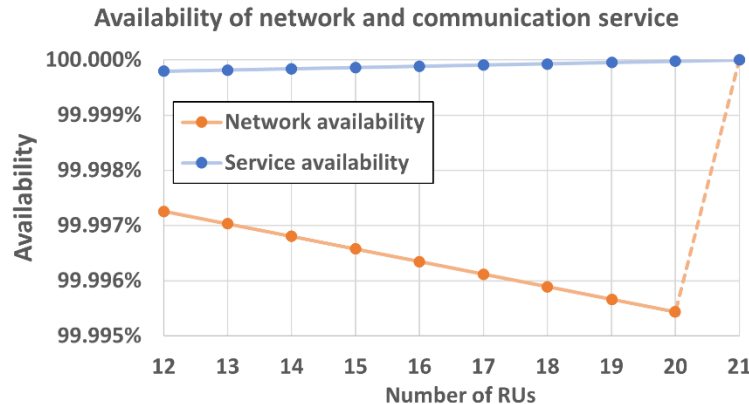
- Network availability decreases with number of RU (until fully redundant)
 - Number of single connection zone increases
- Service availability increases with number of RU
 - Overlapping zone area increases





Random element failure only on RU

- Network reliability decreases with number of RU (until fully redundant)
 - Number of single connection zone increases
- Service reliability increases with number of RU
 - Overlapping zone increases, MTTF increases





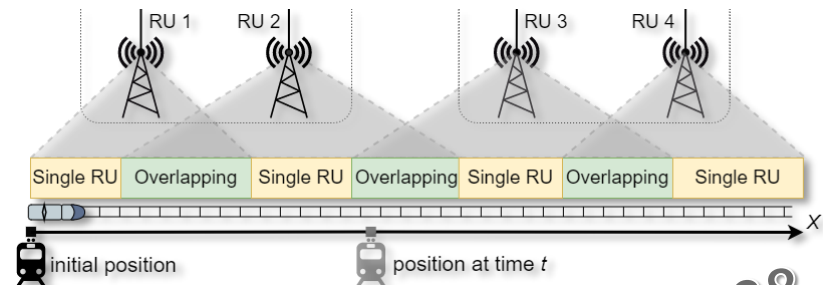
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Random failure everywhere in the network

Handover and Re-establishment are considered

- Network availability decreases with number of
 - Due to failure caused by increasing number of single connection area
- Service availability increases with number of RU
 - Thanks to re-establishment and handover
- Network reliability decreases with number of RU
 - Failures happen more frequently
- Service reliability **decreases** with number of RU
 - Service interrupted due to failure caused by increasing number of elements

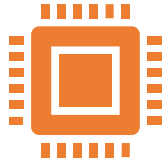
Number of RUs	Network availability	Network MTTF (hours)	Service availability	Service MTTF (hours)
12	99.86058%	55	99.99456%	359
13	99.84895%	52	99.99512%	344
14	99.83789%	50	99.99571%	333
15	99.82612%	48	99.99628%	319
16	99.81485%	46	99.99686%	308
17	99.80219%	44	99.99742%	298
18	99.79151%	42	99.99801%	288
19	99.78031%	41	99.99859%	279
20	99.76875%	39	99.99917%	270





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- A more complicated scenario combined both Control Plane & User Plane is considered
- Perspectives from provider and consumer on availability and reliability are compared
- A platform is built for investigating the impact of network structure on network performance



Degrading and maintenance process:

Physical device may have aging process with a changing failure rate
Grouped maintenance can be considered



Environment Challenges:

Radio signal power can be largely reduced inside a tunnel, in mountain area, or on rainy days



High speed Challenges:

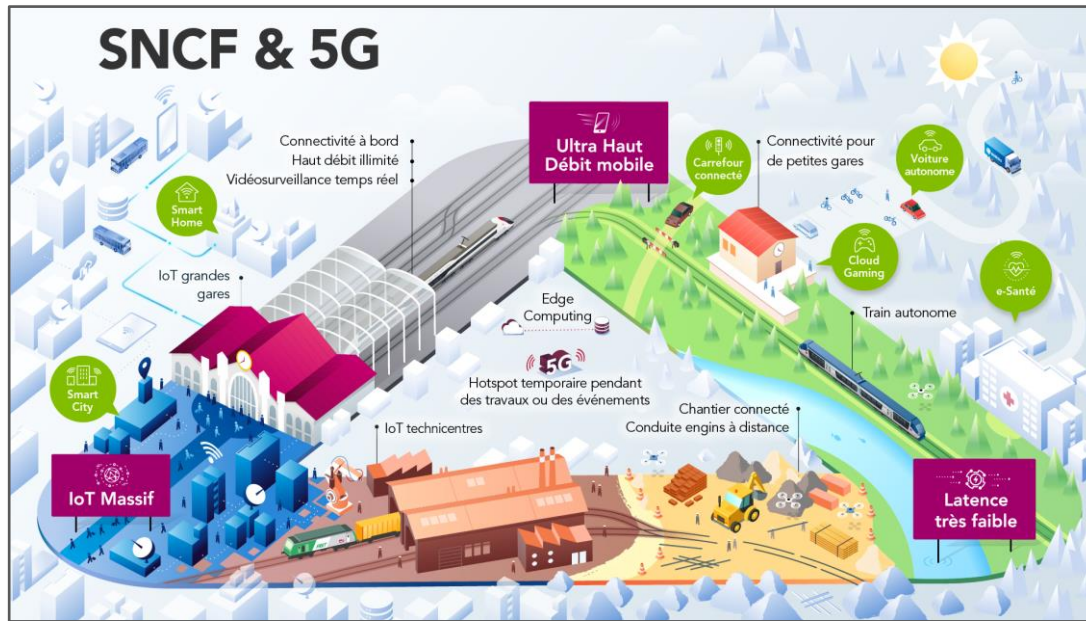
Time to carry out a handover may be short, the HO process can be disturbed



What use cases will be included?

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Railway scenario meets 5G



In 2021, SNCF designed ecosystem with over thirty 5G usages: autonomous train, real-time station surveillance, temporary hotspots...

5G and 6G are on the route...

SNCF Numérique. (July 2021) Télécoms : SNCF explore les usages de la 5G dans son écosystème.

[online]<https://numerique.sncf.com/actualites/telecoms-sncf-explore-les-usages-de-la-5g-dans-son-ecosysteme/>



Thanks



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